



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/817,449	04/01/2004	Xiolin Zi	UCIVN-037A

Robert D. Buyan  
 Stout, Uxa, Buyan & Mullins, LLP  
 Suite 300  
 4 Venture  
 Irvine, CA 92618



CONFIRMATION NO. 1615  
 FORMALITIES LETTER



\*OC000000012998598\*

Date Mailed: 06/21/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/26/2004 EFLURES 00000124 10817449

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 385.00 OP  
 02 FC:2051 65.00 OP  
 03 FC:2202 9.00 OP

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$18 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is ~~\$918~~ <sup>459</sup> for a ~~Large~~ <sup>Small</sup> Entity

- ~~\$770~~ <sup>385</sup> Statutory basic filing fee.
- ~~\$130~~ <sup>65</sup> Late oath or declaration Surcharge.

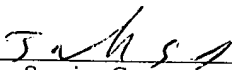
- Total additional claim fee(s) for this application is \$<sup>9</sup>~~18~~

- \$~~18~~ for 1 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No. UCIVN-037A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
Xiolin Zi, et al.	)	Art Unit: 1614
	)	
Serial No.: 10/817,449	)	Examiner: Not Assigned
	)	
Filed: April 1, 2004	)	
	)	
For: Treatment of Bladder and Urinary	)	
<u>Tract Cancers</u>	)	

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Response to Notice to File Missing Parts  
Transmittal Letter**

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application under 37 CFR 1.53(b) mailed June 21, 2004.

- ☐ In accordance with 37 CFR Rule 1.136, the Commissioner is hereby petitioned for a \_\_\_\_ ( ) month extension of time, extending to \_\_\_\_\_ the period for response to the Missing Parts dated **June 21, 2004**.
- ☒ Enclosed is a Declaration of Inventorship and Limited Power of Attorney is enclosed.
- ☒ Enclosed is an Assignment of the invention to The Regents of the University of California, including a recordation cover sheet.
- ☒ Enclosed is a Power of Attorney by Assignee and Exclusion of Inventor(s) under 37. CFR 3.71.

☐ The following declaration(s) in support of Small Entity status for this application are enclosed:

- ☐ Inventor(s).
- ☐ Individual other than inventor.
- ☐ Nonprofit organization.
- ☐ Small business concern.

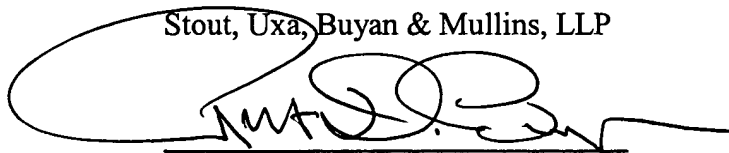
☒ Check No.3514 in the amount of \$499.00.

☒ The Commissioner is hereby authorized to charge any underpayment and credit any overpayment of the filing fees required under 37 CFR § 1.16 and any patent application processing fees required under 37 § CFR 1.17 to Deposit Account No.50-0878.

Respectfully submitted,

Stout, Uxa, Buyan & Mullins, LLP

Date: August 23, 2004



Robert D. Buyan, Reg. No. 32,460

4 Venture, Suite 300  
Irvine, CA 92618  
Telephone: (949) 450-1750, Facsimile: (949) 450-1764  
email: rbuyan@patlawyers.com

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 23, 2004.

Dated: August 23, 2004

By:   
Francine Sanders, Assistant